Investigations of Proof Theory and Automated Reasoning for Non-classical Logics

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The proof theorist considers proofs as her study objects, and prove some properties about them.

#### Q: Right, but what is a proof then?

The physicist's A: A token of evidence!

The ordinary mathematician's A: A convincing mathematical argument!

The logician's A: A *logically sound* argument!

### The proof theorist's A:

Let's say that proof system consists of a set of starting formal expressions together with inference rules. Its principal aim is to find proofs of valid expressions w.r.t. a given logic.

A proof (or derivation) in a proof system is obtained by application of the inference rules to starting expressions, followed by further application of the inference rules to the conclusion, and so on, recursively.

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### Mathematical Paradigms

Axiomatic calculi



Starting points: Instances of axiom schemas Rules: Two inference rules are enough (including *MP*)

 $\begin{array}{ll} \text{Identity law:} \\ 1. & (A \to ((A \to A) \to A)) \to ((A \to (A \to A)) \to (A \to A)) \\ 2. & A \to ((A \to A) \to A) \\ 3. & (A \to (A \to A)) \to (A \to A) \\ 4. & A \to (A \to A) \\ 5. & A \to A \end{array}$ 

Frege a fortiori MP: 1,2 a fortiori MP: 3,4





# Mathematical Paradigms

Natural deduction

Starting points: Assumptions ≈ Leaves of a tree Rules: For each logical operator of the language, we have an introduction rule and an elimination rule ≈ Generating new tree nodes







Identity Law:  $\frac{[A]^1}{A \to A} \to \mathcal{I}:1$ 

# Mathematical Paradigms

G3-style Sequent calculi

# $$\label{eq:rescaled} \begin{split} \mbox{$\Gamma$} \Rightarrow \Delta, \mbox{ where $\Gamma$}, \Delta \mbox{ are finite multisets of formulas.} \\ \mbox{Starting points: Initial sequents $\approx$ Trivial deductions} \\ \mbox{Rules: For each logical operator of the} \\ \mbox{ language, we have a right rule $\approx$} \\ \mbox{ introduction rule in ND; and a left rule} \\ \mbox{$\approx$ (generalised) elimination rule in ND} \end{split}$$





Identity law:

 $A \Rightarrow A$ 

# Structural Analysis

By adopting Gentzen's formalisms it is possible to perform a fine grained analysis of the structure of proofs. In particular, in each paradigm, it is possible to prove canonical form theorems for formal derivations:

each classical or intuitionistic deduction can be effectively turned into a normal deduction, in which no detours occur





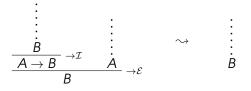
$$\begin{array}{c} \Gamma \Rightarrow \Delta, A & A, \Gamma' \Rightarrow \Delta' \\ \hline \Gamma, \Gamma' \Rightarrow \Delta, \Delta' \end{array} Cut$$

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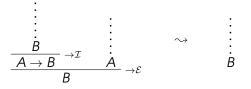
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$$\frac{\Gamma \Rightarrow \Delta, A \qquad A, \Gamma' \Rightarrow \Delta'}{\Gamma, \Gamma' \Rightarrow \Delta, \Delta'} \quad \text{Cut}$$

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Normal form theorems for Gentzen's calculi have a direct application in the field of computerised reasoning. In fact, theorem provers do work since they are based on logical calculi having good structural properties:

Analyticity:

ightarrow no guesses are required to the prover when developing a formal proof;

Avoiding of backtracking:

 $\hookrightarrow$  no bit of information gets lost during the procedure;

### ► Termination:

 $\ensuremath{\mapsto}$  no loops of the prover

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### Overview

#### Introduction

Structural Proof Theory for Modal Logics Verification-based epistemic states Intuitionistic belief Intuitionistic knowledge Intuitionistic strong Löb logic Interpretability logics

Automated reasoning Gödel-Löb in HOL Light Universal algebra in UniMath



### I. Structural Proof Theory for Modal Logics

If we enrich our base syntax by modal operators, the design and the structural analysis of calculi for modal logics may become painful.

# Nevertheless, it is not impossible to design well-behaved Gentzen-style systems for those logics

In this first part of the talk, I will propose

- "standard" natural deduction calculi for three intuitionistic modal logics;
- an "enriched" sequent calculus for a wide family of classical modal logics for arithmetical interpretability

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# Verification-based epistemic states

Brouwer-Heyting-Kolgomorov interpretation

We adopt the view that an **an intuitionistic epistemic state (belief or knowledge) is the result of verification** where a verification is evidence considered sufficiently conclusive for practical purposes.

(Artemov and Protopopescu 2016)

We can read any formula  $\Box A$  as asserting that A has a proof which is not necessarily specified in the process of verification, or more generally that it is verified that A holds in some not specified constructive sense.

This allows to apply intuitionistic epistemic reasoning in various contexts which are not necessarily in the standard domain of BHK; for instance:

- Testimony of authority;
- Zero-knowledge protocols;
- Highly probable truth;

# Intuitionistic belief

(Artemov and Protopopescu 2016)

#### Axioms

1. Axioms of propositional intuitionistic logic; 2.  $\Box(A \rightarrow B) \rightarrow \Box A \rightarrow \Box B;$ (K-scheme) 3.  $A \rightarrow \Box A$ .

### (co-reflection)

#### Rules

$$A \rightarrow B$$
  $A$   $MP$   $B$ 

A model for IEL<sup>-</sup> is a quadruple  $\langle W, \leq, v, E \rangle$  where

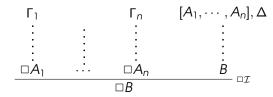
- $\triangleright$   $\langle W, \leq, v \rangle$  is a standard model for intuitionistic propositional logic;
- E is a binary `knowledge' relation on W such that:
  - $\cdot$  if xEy, then x < y; and
  - $\cdot$  if x < y and yEz, then xEz;
- $\triangleright$  v extends to a forcing relation  $\Vdash$  such that
  - $\cdot x \Vdash \Box A$  iff  $y \Vdash A$  for all y such that xEy.

#### Modal adequacy for $IEL^-$

 $\mathbb{IEL}^-$  is sound and complete w.r.t.  $\mathbb{IEL}^-$  relational frames.

# Natural deduction for intuitionistic belief

Let IEL<sup>-</sup> be the calculus extending NJp by the following rule:



where  $\Gamma$  and  $\Delta$  are multisets of formulas, and  $A_1, \dots, A_n$  are all discharged.<sup>1</sup>

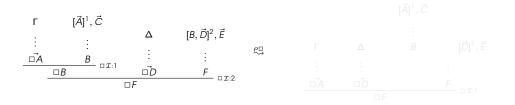
We say that *B* is the major premise of the rule, and each  $\Box A_i$  is a minor premise, whose corresponding discharged assumption is  $A_i$ .

<sup>&</sup>lt;sup>1</sup>Notice that this calculus differs from the system introduced in (de Paiva and Ritter 2004) by allowing the set  $\Delta$  of additional hypotheses in the subdeduction of *B*.

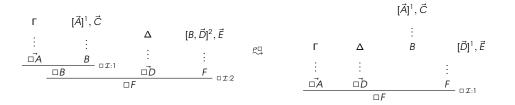
# Natural deduction for intuitionistic belief

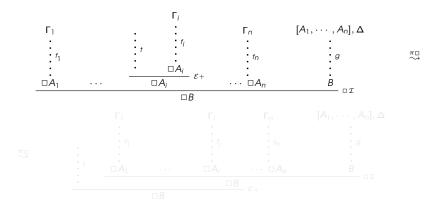
As for NJp, a modal  $\lambda$ -calculus is then obtained by decorating IEL<sup>-</sup>-deductions with proof names. The  $\lambda$ -term corresponding to  $\Box I$  is indeed ruled by the single constructor:

$$\frac{\Gamma_1 \vdash f_1 : \Box A_1 \cdots \Gamma_n \vdash f_n : \Box A_n x_1 : A_1, \cdots, x_n : A_n, \Delta \vdash g : B}{\Gamma_1, \cdots, \Gamma_n, \Delta \vdash (\operatorname{box}[x_1, \cdots, x_n]. g \text{ with } f_1, \cdots, f_n) : \Box B}$$

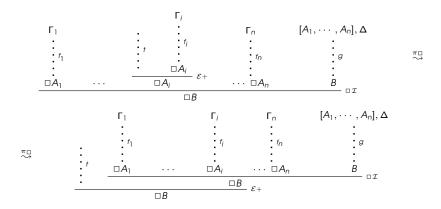


 $\rho_{\Box}$ 





where  $\mathcal{E}$ + is  $\vee \mathcal{E}$  or  $\perp_J$ .



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### Normalisation

### Theorem (PB 2022)

# Deductions in IEL<sup>-</sup> strongly normalise w.r.t. the standard rewriting system for NJp extended by $\rho_{\Box} + \pi_{\Box}$ .

**Proof Sketch**. We define a translation  $\langle - \rangle$  from our modal  $\lambda$ -calculus to simple type theory with products, sums, unit and empty types as follows:

where *q* is an arbitrary atom.

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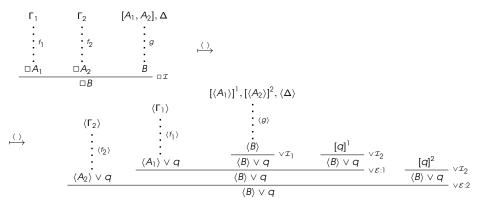
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### Proof Sketch.



# Analyticity

#### Subformula property

The real importance of cut-free proofs is not the elimination of cuts per se, but rather that such proofs obey the subformula principle.

(Smullyan 1968)

### Theorem (Subformula principle, PB 2022)

Every formula B occurring in a normal IEL<sup>-</sup>-deduction f of A from assumptions  $\Gamma$  is a subformula of A or of some formula in  $\Gamma$ .

Proof Sketch.

After (Prawitz 1971):

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Every formula B occurring in a normal  $IEL^-$ -deduction f of A from assumptions  $\Gamma$  is a subformula of A or of some formula in  $\Gamma$ .

Proof Sketch.

Γ δ-part minimum segment G-part A

After (Prawitz 1971):



#### Lemma

The following hold:

- ▶ The reflection rule is admissible in IEL<sup>-</sup>.
- ► IEL<sup>-</sup> satisfies the disjunction property.
- ▶  $IEL^-$  is  $\Box$ -prime.
- ▶ If  $\mathsf{IEL}^- \vdash \Box(A \lor B)$ , then  $\mathsf{IEL}^- \vdash \Box A$  or  $\mathsf{IEL}^- \vdash \Box B$ .
- ▶ IEL<sup>-</sup> is consistent.
- ▶ IEL<sup>-</sup> is decidable.

## Proof.

These properties are proven in (Artemov and Protopopescu 2016) by semantic arguments.

Here we can rely on syntactic considerations involving (canonicity and) the subformula property of the calculus.

# Computational trinitarism

Logic	Type Theory	CATEGORY THEORY
proposition	type	object
proof	term	arrow
theorem	inhabitant	element-arrow
conjunction	product type	product
true	unit type	terminal object
implication	function type	exponential
disjunction	sum type	(weak) coproduct
false	empty type	(weak) initial object

## Proof theoretic semantics for IEL<sup>-</sup> (PB 2021)

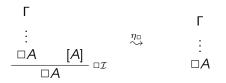
An IEL<sup>-</sup>-category is a bi-CCC  $\mathscr C$ , equipped with a pointed monoidal endofunctor  $\Box:\mathscr C\to \mathscr C$  whose point is monoidal.

 $\Rightarrow$  algebraic semantics of deductions in IEL<sup>-</sup>

But what kind of identity of proofs does an IEL<sup>-</sup>-category capture?

# Proof theoretic semantics for IEL<sup>-</sup>

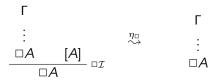




### Theorem (PB 2022)

IEL<sup>--</sup>-deductions strongly normalise w.r.t. the standard rewriting system for NJp extended by  $\rho_{\Box} + \eta_{\Box}$ .

## Proof theoretic semantics for IEL<sup>-</sup> Rewritings $\eta_{\Box}$



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## Categorical interpretation (PB 2021)

#### Theorem (Soundness)

Let  ${\mathscr C}$  be an IEL  $^-$  -category. Then there is a canonical interpretation  $[\![-]\!]$  of IEL  $^-$  in  ${\mathscr C}$  such that

- ▶ a formula A is mapped to a C-object [A];
- ▶ a deduction f of  $A_1, \dots, A_n \vdash_{\mathsf{IEL}^-} B$  is mapped to an arrow

 $\llbracket f \rrbracket : \llbracket A_1 \rrbracket \times \cdots \times \llbracket A_n \rrbracket \to \llbracket B \rrbracket;$ 

for any two deductions f and g which are equal modulo standard rewritings extended by ρ<sub>□</sub> + η<sub>□</sub>, we have [[f]] = [[g]].

#### Theorem (Completeness)

If the interpretation of two IEL<sup>-</sup>-deductions is equal in all IEL<sup>-</sup>-categories, then the two deductions are equal modulo standard+ $\rho_{\Box} + \eta_{\Box}$ -rewritings.

## Intuitionistic factivity of knowledge

(Artemov and Protopopescu 2016)

Knowledge  $\simeq$  Justified True Belief

#### Axioms

1. Axioms of propositional intuitionistic logic; 2.  $\Box(A \rightarrow B) \rightarrow \Box A \rightarrow \Box B;$ 3.  $A \rightarrow \Box A.$ 4.  $\Box A \rightarrow \neg \neg A$ 

(K-scheme) (co-reflection) (intuitionistic factivity of knowledge)

#### Rules

$$\frac{A \to B}{B} \xrightarrow{A} MP$$

A model for IEL is a model for IEL<sup>-</sup>  $\langle W, \leq, v, E \rangle$ , where the relation *E* satisfies the seriality condition

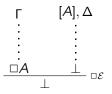
• for any  $x \in W$ , there exists a  $y \in W$  such that *xEy*.

Modal adequacy for IEL

IEL is sound and complete w.r.t. IEL relational frames.

## Natural deduction for intuitionistic knowledge (PB 2022)

Let IEL be the system extending the natural deduction calculus  $\mathsf{IEL}^-$  by the following elimination rule:



where  $\Gamma$  and  $\Delta$  are *multisets of formulas*, and *A* is discharged by  $\Box \mathcal{E}$ .

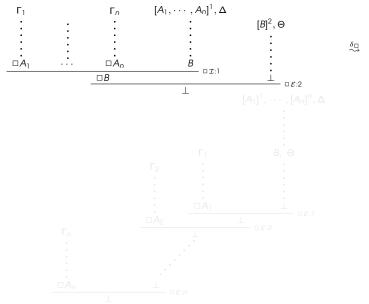
## Natural deduction for intuitionistic knowledge Typed system

It is straightforward to extend the modal  $\lambda$ -calculus for IEL<sup>-</sup> by decorating  $\Box \mathcal{E}$  with proof names.

The  $\lambda$ -term corresponding to  $\Box \mathcal{E}$  gives the eliminator for modal terms, as expected:

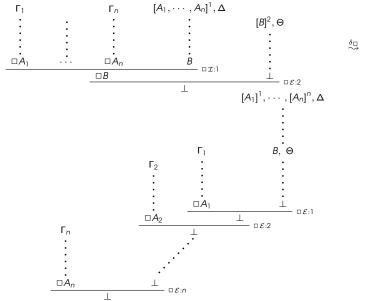
 $\frac{\Gamma \vdash f: \Box A \qquad x: A, \Delta \vdash g: \bot}{\Gamma, \Delta \vdash (\text{unbox } f \text{ with } x.g) : \bot}$ 

# Rewritings $_{\delta_{\square}}$



 $\delta_{\Box}$ 

# Rewritings $_{\delta_{\square}}$





## Normalisation

## Theorem (PB 2022)

Deductions in IEL strongly normalise w.r.t. the rewriting system for NJp extended by  $\rho_{\Box} + \pi_{\Box} + \delta_{\Box}$ .

## Proof Sketch

Tweak the translation  $\langle angle$  in the proof of strong normalisation for IEL $^-$  as follows:



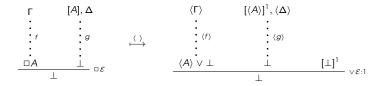
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### Proof Sketch.

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## Analyticity

Subformula properties and other results

All the results about  $\mathsf{IEL}^-$  are modularly extended to  $\mathsf{IEL}$ :

## Theorem (PB 2022)

The following hold:

- The subformula property holds for normal IEL-deductions.
- In any normal IEL-deduction of A, the last rule applied is the introduction rule for the main connective of A.
- The reflection rule is admissible in IEL.
- ▶ IEL satisfies the disjunction property.
- ▶ IEL is □-prime.
- ▶ If  $\mathsf{IEL} \vdash \Box(A \lor B)$ , then  $\mathsf{IEL} \vdash \Box A$  or  $\mathsf{IEL} \vdash \Box B$ .
- IEL is consistent.
- IEL is decidable.
- ► IEL<sup>-</sup>  $\subsetneq$  IEL.
- ► For  $L \in \{IEL^-, IEL\}$ ,  $L \nvDash \Box (A \lor B) \to \Box A \lor \Box B$ .

## **Provability logics**

# Logics for provability $\simeq$ modal systems capturing the abstract and structural properties of the provability predicate used in Gödel's incompleteness results

#### For classical arithmetical theories, Gödel-Löb logic does the job.

For intuitionistic arithmetical theories, the situation is less clear,<sup>2</sup> but intuitionistic provability logics have become relevant tools for studying fix-point and guarded recursion operators.

<sup>&</sup>lt;sup>2</sup>Refer however to (Mojtahedi 2022) for a promising candidate for provability in Heyting arithmetic

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# Intuitionistic strong Löb logic

(Visser and Zoethout 2018)

#### Axioms

1. Axioms of propositional intuitionistic logic;2.  $\Box(A \rightarrow B) \rightarrow \Box A \rightarrow \Box B$ ;(K-scheme)3.  $A \rightarrow \Box A$ .(co-reflection)4.  $\Box(\Box A \rightarrow A) \rightarrow \Box A$ (GL-scheme)

#### Rules

$$A \rightarrow B$$
  $A$   $MP$ 

A model for ISL is a quadruple  $\langle W, \leq, v, R \rangle$  where

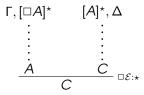
- $\langle W, \leq, v \rangle$  is a standard model for intuitionistic propositional logic;
- R is a binary relation on W such that:
  - if *xRy*, then  $x \leq y$ ; and
  - if  $x \leq y$  and yRz, then xRz
  - · R is transitive;
  - $\cdot$  *R* is Noetherian;
- v extends to a forcing relation  $\Vdash$  such that
  - $\cdot x \Vdash \Box A \text{ iff } y \Vdash A \text{ for all } y \text{ such that } xRy.$

#### Modal adequacy for $\mathbb{ISL}$

 ${\tt ISL}$  is sound and complete w.r.t.  ${\tt ISL}$  relational frames.

## Natural deduction for iSL (PB 2022)

Let ISL be the system extending the natural deduction calculus  $\mathsf{IEL}^-$  by the following elimination rule:



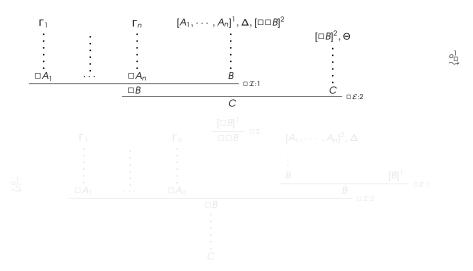
where  $\Gamma$  and  $\Delta$  are *multisets of formulas*, and  $\Box \mathcal{E}$  allows both multiple and vacuous discharge.

# Natural deduction for iSL

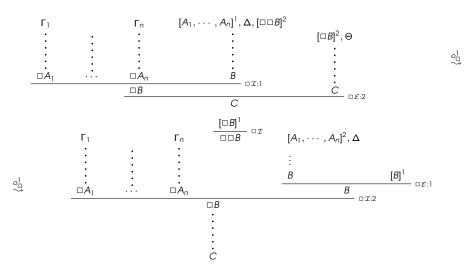
The  $\lambda$ -term corresponding to  $\Box \mathcal{E}$  gives the eliminator for modal *variables*:

$$\frac{x:\Box A, \Gamma \vdash f: A \qquad y: A, \Delta \vdash g: C}{\Gamma, \Delta \vdash (l\"ob x.f with y.g) : C}$$

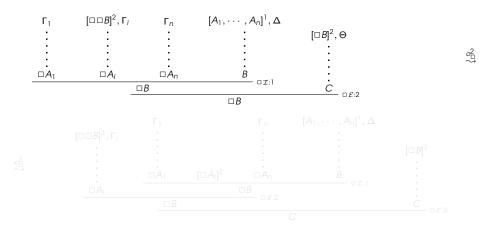




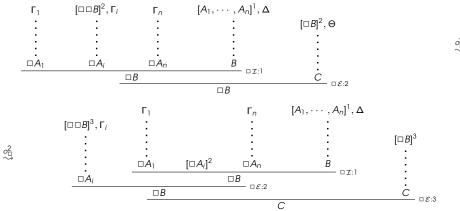












*o*<sup>2</sup> ∼

## Normalisation

## Theorem (PB 2022)

Deductions in ISL strongly normalise w.r.t. the rewriting system for NJp extended by  $\rho_{\Box} + \pi_{\Box} + o_{\Box}^{l} + o_{\Box}^{2}$ .

**Proof Sketch**. Tweak the translation  $\langle - \rangle$  in the proof of strong normalisation for IEL<sup>-</sup> as follows:

$$\begin{array}{ccccc} \langle \bot \rangle & := & \bot \\ \langle \top \rangle & := & \top \\ \langle D \rangle & := & P \\ \langle A \rightarrow B \rangle & := & \langle A \rangle \rightarrow \langle B \rangle \\ \langle A \wedge B \rangle & := & \langle A \rangle \wedge \langle B \rangle \\ \langle A \lor B \rangle & := & \langle A \rangle \lor \langle B \rangle \\ \langle \Box A \rangle & := & \langle A \rangle \lor \top \end{array}$$



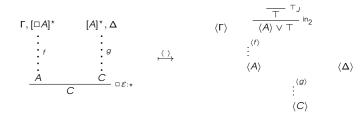
## Normalisation

### Theorem (PB 2022)

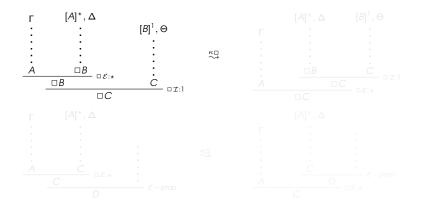
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#### Proof Sketch.

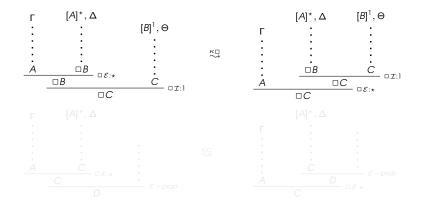
Tweak the translation  $\langle -\rangle$  in the proof of strong normalisation for IEL  $^-$  as follows:



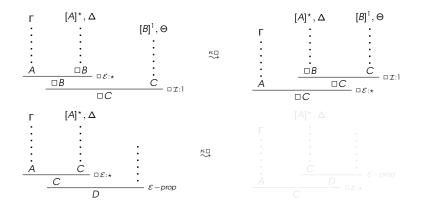




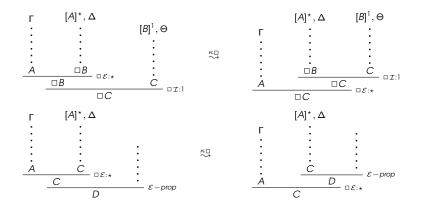




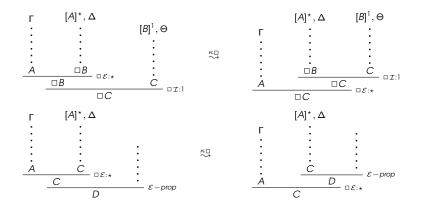














## Conjecture

Every formula *B* occurring in a normal – w.r.t. the standard system extended by  $\rho_{\Box} + \pi_{\Box} + o_{\Box}^{1} + o_{\Box}^{2} + \kappa_{\Box}$  – ISL-deduction *f* of *A* from assumptions  $\Gamma$  is a subformula of *A* or of some formula in  $\Gamma$ .

## Interpretability logics

An interpretation of a theory T into a theory T' is just a structure preserving translation t such that if  $T \vdash A$  then  $T' \vdash t(A)$ . Interpretations are ubiquitous in (meta-)mathematics:

- Faithful embeddings;
- Gödel numbering;
- Relative consistency proofs;

- 1

Modal logics for interpretability are an extension of the language of provability logic by means of a binary modal operator ⊳ capturing the relation of (relative) interpretability between two arithmetical theories:

## $A \triangleright B \stackrel{*}{\simeq} Int_{\mathsf{T}}(\ulcorner A^{* \neg}, \ulcorner B^{* \neg})$

where  $Int_T(x, y)$  is the formal predicate for relative interpretability over T – expressing the fact that the arithmetical theory T extended by  $A^*$  interprets the arithmetical theory T extended by  $B^*$ .

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# Interpretability logics $\mathbb{I}$

#### • Axiom schemas of $\mathbb{CPC}$ ;

• schema IL2 : 
$$A \triangleright B \rightarrow B \triangleright C \rightarrow A \triangleright C$$
;

• schema IL3 :  $A \triangleright C \rightarrow B \triangleright C \rightarrow A \lor B \triangleright C$ ;

▶ schema IL-Löb: 
$$A \triangleright (A \land (A \triangleright \bot))$$
;

► MP Rule 
$$\frac{A \to B}{B}$$
;  
► Rule  $\frac{A \to B}{A \rhd B}$ .

We define

$$\Box A := \neg A \triangleright \bot$$
, and  $\Diamond A := \neg \Box \neg A$ .

## Interpretability logics Extensions

Let us define as proper extensions of  $\mathbb{I\!L}$ 

 $\blacktriangleright \ \mathbb{ILM} := \mathbb{IL} + M, \text{ where }$ 

 $\mathsf{M}:=\mathsf{A} \rhd \mathsf{B} \to \mathsf{A} \land \Box \mathsf{C} \rhd \mathsf{B} \land \Box \mathsf{C}$ 

is called the Montagna schema;

▶ ILP := IL + P, where

$$\mathsf{P} := \mathsf{A} \triangleright \mathsf{B} \to \Box(\mathsf{A} \triangleright \mathsf{B})$$

is called the persistence schema;

▶  $\mathbb{IL}\mathbb{W} := \mathbb{IL} + W$ , where

$$\mathsf{W} := \mathsf{A} \rhd \mathsf{B} \to \mathsf{A} \rhd \mathsf{B} \land \Box \neg \mathsf{A}$$

is called the de Jongh-Visser schema;

▶ ILKM1 := IL + KM1, where

$$\mathsf{KM1} := \mathsf{A} \rhd \Diamond \top \to \top \rhd \neg \mathsf{A};$$

▶  $\mathbb{ILM}_0 := \mathbb{IL} + M_0$ , where

$$\mathsf{M}_0 := A \triangleright B \to \Diamond A \land \Box C \triangleright B \land \Box C;$$

Each of these extensions can be characterised in terms of GVS semantics by imposing specific conditions to frames.

### Interpretability logics Verbrugge semantics

A generalised Veltman frame  ${\mathcal F}$  consists of

• a finite set  $W \neq \emptyset$ ;

- a binary relation  $R \subseteq W \times W$  which is irreflexive and transitive;
- a *W*-indexed set of relations  $S_x \subseteq R[x] \times (\wp(R[x]) \setminus \{\varnothing\});$

satisfying the following conditions:

- Quasi-reflexivity: if xRy then  $yS_x\{y\}$ ;
- Definiteness: if *xRyRz* then  $yS_x\{z\}$ ;
- Monotonicity: if  $yS_xa$  and  $a \subseteq b \subseteq R[x]$  then  $yS_xb$ ;
- ▶ Quasi-transitivity: if  $yS_xa$  and  $vS_xb_v$  for all  $v \in a$ , then  $yS_x(\bigcup_{v \in a} b_v)$ .

The forcing relation is defined as for standard relational semantics, with only one difference:

 $x \Vdash A \triangleright B$  iff for all y if xRy and  $y \Vdash A$ , then there exists an a such that  $yS_xa$  and  $a \Vdash^{\forall} B$ ,

where  $a \Vdash^{\forall} B$  abbreviates the expression "for any  $z \in a, z \Vdash B$ ".

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Formalised semantic reasoning

# In recent years, internalisation techniques of semantic notions in sequent calculi marked an event in proof theory for non-classical logics.

The starting point of that perspective is still the basic G3-paradigm, but the formalism of sequent systems is extended either by

- enriching the language of the calculi themselves (explicit internalisation);or by
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(#)  $x \Vdash A \triangleright B$  iff for all y, if xRy and  $y \Vdash A$ , then there exists an a such that  $yS_xa$  and  $a \Vdash^{\forall} B$ ,

(#)  $x \Vdash A \rhd B$  iff for all y, if xRy and  $y \Vdash A$ , then  $y \Vdash \langle ]_x B$ .

Therefore

 $x \Vdash A \rhd B$  iff  $x \Vdash \Box(A \to \langle]_x B)$ .

Moreover, in any irreflexive transitive finite frame

 $x \Vdash \Box A$  iff for any y, if xRy and  $y \Vdash \Box A$ , then  $y \Vdash A$ .

Henceforth

(b)  $x \Vdash A \triangleright_i B$  iff for all y, if xRy and  $y \Vdash A \triangleright_i B$ , then, if  $y \Vdash A, y \Vdash \langle ]_i B$ .

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#### G3IL\* Basic calculus

#### Initial sequents

 $x:p,\Gamma\Rightarrow\Delta,x:p$ 

 $x: A \triangleright, B, \Gamma \Rightarrow \Delta, x: A \triangleright, B$ 

#### Classical propositional rules: the usual ones, refer to Figure 1.2

#### Local forcing rules

 $\frac{x:A,x\in A,a\Vdash^{\forall}A,\Gamma\Rightarrow\Delta}{x\in A,a\Vdash^{\forall}A,\Gamma\Rightarrow\Delta} \underset{\mathcal{L}\vDash^{\forall}}{\xrightarrow{}}$ 

$$\begin{array}{c} x \in a, \Gamma \Rightarrow \Delta, x : A \\ \hline \Gamma \Rightarrow \Delta, a \Vdash^{\vee} A \end{array} \approx_{(x^{\dagger})}^{(x)}$$

#### Intermediate modality rules

 $\frac{yS_{x}a, a \Vdash^{\vee} A, \Gamma \Rightarrow \Delta}{y: (]_{*}A, \Gamma \Rightarrow \Delta} \mathcal{L}(]_{(a^{\dagger})}$ 

 $\frac{yS_xa,\Gamma\Rightarrow\Delta,y:\langle]_xA,a\Vdash^{\forall}A}{yS_xa,\Gamma\Rightarrow\Delta,y:\langle]_xA}\approx 0$ 

#### Interpretability modality rules

$y \in R[x], x: A \rhd_i B, \Gamma \Rightarrow \Delta, y: A \qquad y:$	$(]_i B, y \in R[x], x : A \triangleright_i B, \Gamma \Rightarrow \Delta$	$y\in R[x], s:A\rhd_i B, \Gamma\Rightarrow \Delta, y:A\rhd_i B$	~
	$y\in R[x], x:A\rhd_i B, \Gamma\Rightarrow \Delta$		- 1
$\frac{y\in R[x],y:A,\Gamma,y:A\rhd_{i}B\Rightarrow\Delta,y:(]_{i}B}{\Gamma\Rightarrow\Delta,x:A\rhd_{i}B}$	$\pi \wp_{i(y^{\dagger})}$		
Rules for GVS			
$ \begin{array}{c} \underline{a\subseteq a,\Gamma\Rightarrow\Delta}\\ \hline \Gamma\Rightarrow\Delta \end{array} \& dl\subseteq \end{array}$	$\frac{a \subseteq c, a \subseteq b, b \subseteq c, \Gamma \Rightarrow \Delta}{a \subseteq b, b \subseteq c, \Gamma \Rightarrow \Delta}$	Trans⊆	
$ \begin{array}{c} x \in b, x \in a, a \subseteq b, \Gamma \Rightarrow \Delta \\ \hline x \in a, a \subseteq b, \Gamma \Rightarrow \Delta \end{array}  \   \mathcal{L} \subseteq \end{array}$			
$ \begin{array}{c} x \in \{x\}, \Gamma \Rightarrow \Delta \\ \hline \Gamma \Rightarrow \Delta \end{array} \text{ Jing} \end{array}$			
$\frac{Atm(y), Atm(x), y \in \{x\}, \Gamma \Rightarrow \Delta}{Atm(x), y \in \{x\}, \Gamma \Rightarrow \Delta} \xrightarrow{R \not \Rightarrow l_1}$	$\frac{Atm(x), Atm(y), y \in \{x\}, \Gamma}{Atm(y), y \in \{x\}, \Gamma \Rightarrow}$	$\frac{1}{\Delta} \Rightarrow \Delta$ $R_{T}l_{2}$	
where $Atm(x)$ has one of the following form	$\mathrm{s:}\; x:p,x\in a,x\in\{\mathbf{z}\}, x\in R[\mathbf{z}],\mathbf{z}$	$x \in R[x], xS_xa, zS_xa.$	
$x \in R[x], \Gamma \Rightarrow \Delta$ incl $y \in R[x], y$	$\in R[x], z \in R[y], \Gamma \Rightarrow \Delta$ $x , z \in R[y], \Gamma \Rightarrow \Delta$ Trans		
$ \begin{array}{c} \underbrace{z \in a, y S_x a, \Gamma \Rightarrow \Delta} \\ y S_x a, \Gamma \Rightarrow \Delta \end{array}  \operatorname{NE}_{\{v\}} \end{array}$	$\frac{y \in R[x], a \subseteq R[x], yS_xa, \mathbf{I}}{yS_xa, \mathbf{\Gamma} \Rightarrow \Delta}$	$\rightarrow \Delta$ DqS1	
$\frac{yS_x\{z\}, y \in R[x], z \in R[y], \Gamma \Rightarrow \Delta}{y \in R[x], z \in R[y], \Gamma \Rightarrow \Delta}$ left	$\frac{yS_xb, yS_xa, a \subseteq b, b \subseteq R[x]}{yS_xa, a \subseteq b, b \subseteq R[x], \Gamma}$	$[], \Gamma \Rightarrow \Delta$ $\Rightarrow \Delta$ Meno	

 $\begin{array}{c} y \in R[x], z \in R[y], \Gamma \Rightarrow \Delta \\ \hline yS_x \{y\}, y \in R[x], \Gamma \Rightarrow \Delta \\ y \in R[x], \Gamma \Rightarrow \Delta \\ Qegl \end{array} \qquad \qquad \begin{array}{c} yS_x a, a \subseteq b, b \subseteq R[x], \Gamma \Rightarrow \Delta \\ \hline yS_x a, z \in a, xS_x b, \Gamma \Rightarrow \Delta \\ \hline yS_x a, z \in a, xS_x b, \Gamma \Rightarrow \Delta \\ \hline yS_x a, z \in a, xS_x b, \Gamma \Rightarrow \Delta \end{array}$ (bundle)

# $G3IL^{\star}$ Rules for extensions

#### Additional rules for GVS

$$\begin{array}{c} \underline{x \in a, y \in R[x], y \in R[a], \Gamma \Rightarrow \Delta} \\ \underline{y \in$$

#### Rules for interpretability principles

$$\frac{b \subseteq a, yS_x b, R[b] \subseteq R[y], yS_x a, \Gamma \Rightarrow \Delta}{yS_x a, \Gamma \Rightarrow \Delta} \xrightarrow{M_{(b!)}} \frac{z \in a, R_z \subseteq R[y], yS_x a, \Gamma \Rightarrow \Delta}{yS_x a, \Gamma \Rightarrow \Delta} \xrightarrow{KM1_{(z!)}}$$

$$\begin{array}{c} b \subseteq a, zS_yb, y \in R[x], z \in R[y], zS_xa, \Gamma \Rightarrow \Delta \\ \hline y \in R[x], z \in R[y], zS_xa, \Gamma \Rightarrow \Delta \\ \hline b \subseteq a, yS_xb, R[b] \cap S_x^{-1}a \subseteq \varnothing, yS_xa, \Gamma \Rightarrow \Delta \\ \hline yS_xa, \Gamma \Rightarrow \Delta \\ \hline b \subseteq a, yS_xb, R[b] \subseteq R[y], y \in R[x], z \in R[y], zS_xa, \Gamma \Rightarrow \Delta \\ \hline y \in R[x], z \in R[y], zS_xa, \Gamma \Rightarrow \Delta \\ \hline \end{array}$$

### Theorem (PB 2022)

Any calculus in the family G3IL\* satisfies the following properties:

- Generalised initial sequents are derivable;
- Substitution rules for worlds and neighbourhoods are hp-admissible;
- Weakening rules are hp-admissible;
- All the rules are invertible;
- Contraction rules are admissible;
- Cut-elimination holds.

Some care is needed for proving cut elimination:

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Each calculus in the family of G3IL<sup>\*</sup> is sound and complete w.r.t. the appropriate class of Verbrugge frames: This is shown by interpreting derivations in frames – soundness – and, indirectly, by proving the interpretability principles of each axiomatic calculus – completeness.

#### After settling

### Conjecture

There exists a strategy making proof search in G3KIL\* for a sequent of the form  $\Rightarrow x : A$  always terminate in a finite number of steps. Moreover, from a failed proof search, it is possible to extract a countermodel to A belonging to appropriate class of generalised Veltman frames.

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### II. Automated Reasoning

My intellect never quite recovered from the strain of writing (Principia Mathematica). I have been ever since definitely less capable of dealing with difficult abstractions than I was before.

(Russell 1971)

Nowadays, contemporary proof assistants are capable to help the mathematician in formalising substantial bodies of advanced mathematics, and symbolism and formal reasoning do not drive anyone mad – in principle.

In this second part of the talk, I will propose two experiments in automated reasoning, namely

- an implementation in HOL Light of a theorem prover and countermodel constructor for provability logic;
- a formalisation in UniMath of the basics of universal algebra, with an eye at automated computations

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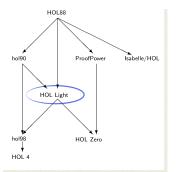
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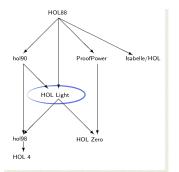




#### $\circ~$ Clean logical foundations $\approx$ Principia Mathematica

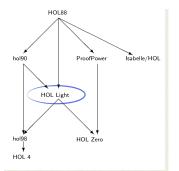
- LCF-style proof checker based on polymorphic simple type theory  $\approx$  small class of *primitive inference rules* for creating theorems + *derived inference rules* to be programmed on top
  - $\Rightarrow$  10 primitive rules
  - $\Rightarrow$  2 conservative extension principles
  - $\Rightarrow$  Axioms of choice, extensionality, and infinity
- Written as an OCaml program ≈ three datatypes for the logic: hol\_type, term, and thm
- Goal-directed proof development ≈ tactic(al)s + automated methods (in the appropriate domains)

### HOL Light A brief glance



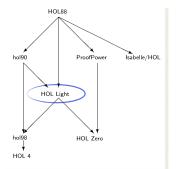
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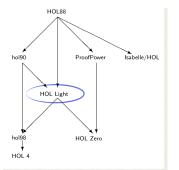
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  - $\Rightarrow$  Axioms of choice, extensionality, and infinity
- Written as an OCaml program ≈ three datatypes for the logic: hol\_type, term, and thm
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### HOL Light A brief glance



- $\circ~$  Clean logical foundations  $\approx$  Principia Mathematica
- LCF-style proof checker based on polymorphic simple type theory ≈ small class of *primitive inference rules* for creating theorems + *derived inference rules* to be programmed on top
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The abstract properties of the provability predicate of any "reasonable" arithmetical theory over a classical base are captured by the system  $\mathbb{GL}$ , that is made of:

Axioms of classical propositional logic

• Axiom K : 
$$\Box(A \rightarrow B) \rightarrow \Box A \rightarrow \Box B$$

• Axiom GL : 
$$\Box(\Box A \rightarrow A) \rightarrow \Box A$$

• MP Rule 
$$A \rightarrow B$$
 A  
• Nec Rule  $A \rightarrow B$   
 $\Box A$ 



Theorem (Modal adequacy)

 $\mathbb{GL} \vdash A \quad iff \quad TFT \vDash A$ 

where TFT is the class of relational frames  $\mathcal{F} = \langle W, R \rangle$  where W is finite,  $R \subseteq W \times W$  is transitive and  $\langle W, R \rangle$  defines a tree.

In (Maggesi and PB 2021) we have presented a formalisation in HOL Light of that theorem, and adopted an hybrid proof strategy which considers the difficulties determined by the non-compactness of GL, without incurring in syntactic subtleties sketched in (Boolos 1995).

But we wanted something more...

#### Initial sequents:

 $x: p, \Gamma \Rightarrow \Delta, x: p$ 

#### Propositional rules:

$$\begin{array}{c} \overline{x: \perp, \Gamma \Rightarrow \Delta} & L \\ \hline x: A, x: B, \Gamma \Rightarrow \Delta \\ \hline x: A, A, B, \Gamma \Rightarrow \Delta \\ \hline x: A, G \Rightarrow \Delta \\ \hline x: A \rightarrow B \\ \hline x$$

#### Modal rules:

$$\begin{array}{c} y:A, xRy, x: \Box A, \Gamma \Rightarrow \Delta \\ \hline xRy, x: \Box A, \Gamma \Rightarrow \Delta \\ \hline \end{array} \mathcal{L}\Box \qquad \qquad \begin{array}{c} xRy, y: \Box A, \Gamma \Rightarrow \Delta, y:A \\ \hline \Gamma \Rightarrow \Delta, x: \Box A \\ \end{array} \mathcal{R}\Box \begin{matrix} L\bar{c}b \\ (y!) \\ \end{array}$$

#### Semantic rules:

$$\frac{xRx, \Gamma \Rightarrow \Delta}{xRy, yRz, \Gamma \Rightarrow \Delta} \text{ Irref} \qquad \qquad \frac{xRz, xRy, yRz, \Gamma \Rightarrow \Delta}{xRy, yRz, \Gamma \Rightarrow \Delta} \text{ Trans}$$

Implementing semantic reasoning

It is not hard to see how to use both our formalisation of modal completeness and the already known proof theory for G3KGL to the aim of implementing a decision algorithm in HOL Light for GL: Our predicate holds (W, R) V A x corresponds exactly to the labelled formula x : A.

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Thus we have three different ways of expressing the fact that a world x forces A in a given model  $\langle W, R, v \rangle$ :

Semantic notation	$  x \Vdash A$
LABELLED SEQUENT CALCULUS NOTATION	x : A
HOL LIGHT NOTATION	holds (W,R) V A x

#### Our theorem prover Design of the proof search

#### Our tactic GL\_TAC works as expected:

- Given a formula A of L, OCaml let-terms are rewritten together with definable modal operators, and the goal is set to |-- A;
- 2. A model  $\langle W, R, v \rangle$  and a world  $w \in W$  where W sits on the type num are introduced. The main goal is now holds (W, R) V A w;
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- 4. All possible propositional rules are applied after unfolding the predicate holds. This assures that, at each step of the proof search, the goal term is a finite conjunction of disjunctions of positive and negative holds-propositions. As usual, priority is given to non-branching rules, i.e. to those that do not generate subgoals. Furthermore, the hypothesis list is checked, and trans is applied whenever possible; the same holds for *L*D, which is applied to any appropriate hypothesis after the tactic triggering trans. Each new goal term is reordered by SORT\_BOX\_TAC, which always precedes the implementation of RD<sup>L6b</sup>.

The procedure is repeated starting from step 2. The tactic ruling it is

FIRST o map CHANGED\_TAC,

which triggers the correct non-failing tactic.

By calling  $ASM_REWRITE_TAC$ , an additional condition states that the current branch is closed, i.e. an initial sequent has been reached, or the sequent currently analysed has a labelled formula  $x : \bot$  in the antecedent.

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### Our code is integrated in the official HOL Light distribution https://github.com/jrh13/hol-light and is surveyed in (Maggesi and PB 2022) – under review.

Let's have a run on it now

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UniMath origin dates back to 2014 when three COQ libraries were combined:

- Foundations (Voevodsky, 2010)
- RezkCompletion (Ahrens, Kapulkin, Shulman, 2013)
- Ktheory (Grayson, 2013)

## Martin-Löf Type Theory / subsystem of Coa:

- no record types
- no inductive types
- no match construct

## Extended by:

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We introduced the basic notions for developing investigations in universal algebra from the univalent perspective:

- multi-sorted signatures
- algebras and their univalent category
- free algebras
- theories and their univalent category
- terms

#### $\rightsquigarrow$ no inductive types in UniMath

#### Sketch of our implementation

- □  $t \in T(sigma, V)$   $\rightsquigarrow$  list of function symbols (and variables)
- Lists are executed by a stack machine (status monad on natural numbers)
  - $\diamond$  Status n  $\rightarrow$  remaining elements after execution
  - ♦ Status error → stack underflow
- □ At the end of execution, a w.f. term always has status 1
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Theorem term_ind (P: term sigma + UU)
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R: term_ind_HP P) (t: term sigma): P t.
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Many thanks for your attention